

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J1036 US PTO
09/838376
04/19/01


In re application of:	: Group Art Unit:
Steven Edward Atkin	
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: "A Generalized Mechanism for Unicode Metadata"	: 11400 Burnet Road : Internal Zip 4054 : Austin, Texas 78758

Date: 4/19/01INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,



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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. _____
Applicant: Steven Edward Atkin
Filing Date: _____
Group: _____
Atty. Docket No. AUS920010278US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner	Document	Filing Date	if
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>
_____	AA		
_____	AB		
_____	AC		
_____	AD		

FOREIGN PATENT DOCUMENTS

Examiner	Document	Translation					
<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Yes</u>	<u>No</u>
_____	AE						
_____	AF						
_____	AG						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Initial	
_____	AH	"Unicode Standard Annex #15 - Unicode Normalization Forms" by the Unicode Consortium, published online at http://www.unicode.org/unicode/reports/tr15 , printed January 9, 2001.
_____	AI	"Plane 14 Characters for Language Tags" by Unicode Consortium, published online at http://www.unicode.org/reports/tr7 , printed January 9, 2001.
_____	AJ	Martin Dürst and Asmus Freytag, "Unicode in XML and Other Markup Languages", published online at http://www.unicode.org/unicode/reports/tr20 , printed January 9, 2001.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.